
Report To: Inverclyde Integration Joint Board Audit Committee **Date:** 29 March 2021

Report By: Corporate Director (Chief Officer)
Inverclyde Health & Social Care Partnership **Report No:** IJBA/06/2021/AP

Contact Officer: Andi Priestman **Contact No:** 01475 712251

Subject: STATUS OF EXTERNAL AUDIT ACTION PLANS AT 28 FEBRUARY 2021

1.0 PURPOSE

- 1.1 The purpose of this report is to advise IJB Audit Committee members of the status of current actions from External Audit Action Plans at 28 February 2021.

2.0 SUMMARY

- 2.1 The Chief Internal Auditor co-ordinates follow up reporting on current actions arising from External Audit Action Plans on a monthly basis with regular reporting to the Audit Committee.

- 2.2 There were no actions due for completion by 28 February 2021.

- 2.3 There are 4 current external audit actions being progressed by officers. The current status report is attached at Appendix 1. **Appendix 1**

3.0 RECOMMENDATIONS

- 3.1 It is recommended that IJB Audit Committee members note the progress to date in relation to the implementation of external audit actions.

Louise Long
Chief Officer Inverclyde Health & Social Care Partnership

4.0 BACKGROUND

- 4.1 External Audit regularly report findings and action plans to relevant IJB Officers and the Audit Committee as part of their annual audit plan.
- 4.2 A follow up process is in place to allow follow up of current external audit actions to be co-ordinated and updated by Internal Audit on a monthly basis with regular reporting to the Audit Committee.

5.0 CURRENT POSITION

- 5.1 There were no actions due for completion by 28 February 2021.
- 5.2 There are 4 current external audit actions being progressed by officers. The current status report is attached at Appendix 1.

**Appendix
1**

6.0 IMPLICATIONS

Finance

- 6.1 The work required to carry out audit follow up will be contained within the existing Internal Audit budget set by Inverclyde Council.

Financial Implications:

One off Costs

| Cost Centre | Budget Heading | Budget Years | Proposed Spend this Report | Virement From | Other Comments |
|-------------|----------------|--------------|----------------------------|---------------|----------------|
| N/A | | | | | |

Annually Recurring Costs/ (Savings)

| Cost Centre | Budget Heading | With Effect from | Annual Net Impact | Virement From (if Applicable) | Other Comments |
|-------------|----------------|------------------|-------------------|-------------------------------|----------------|
| N/A | | | | | |

Legal

- 6.2 There are no direct legal implications arising from this report.

Human Resources

- 6.3 There are no direct HR implications arising from this report.

Equalities

- 6.4 Has an Equality Impact Assessment been carried out?

| | |
|---|---|
| | YES |
| X | NO – This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required. |

6.0 IMPLICATIONS (CONTINUED)

How does this report address our Equality Outcomes?

| Equalities Outcome | Implications |
|---|---------------------|
| People, including individuals from the above protected characteristic groups, can access HSCP services. | None |
| Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated. | None |
| People with protected characteristics feel safe within their communities. | None |
| People with protected characteristics feel included in the planning and developing of services. | None |
| HSCP staff understand the needs of people with different protected characteristic and promote diversity in the work that they do. | None |
| Opportunities to support Learning Disability service users experiencing gender based violence are maximised. | None |
| Positive attitudes towards the resettled refugee community in Inverclyde are promoted. | None |

6.5 There are no direct clinical or care governance implications arising from this report.

National Wellbeing Outcomes

6.6 How does this report support delivery of the National Wellbeing Outcomes?

| National Wellbeing Outcome | Implications |
|--|---------------------|
| People are able to look after and improve their own health and wellbeing and live in good health for longer. | None |
| People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community | None |
| People who use health and social care services have positive experiences of those services, and have their dignity respected. | None |
| Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services. | None |
| Health and social care services contribute to reducing health inequalities. | None |
| People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing. | None |
| People using health and social care services are safe from harm. | None |

| | |
|--|------|
| People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide. | None |
| Resources are used effectively in the provision of health and social care services. | None |

7.0 DIRECTIONS

| | | | |
|-----|--|---------------------------------------|---|
| 7.1 | Direction Required to Council, Health Board or Both | Direction to: | |
| | | 1. No Direction Required | X |
| | | 2. Inverclyde Council | |
| | | 3. NHS Greater Glasgow & Clyde (GG&C) | |
| | | 4. Inverclyde Council and NHS GG&C | |

8.0 CONSULTATIONS

8.1 N/A

9.0 LIST OF BACKGROUND PAPERS

9.1 External Audit Reports. Copies available from Chief Internal Auditor.

**INVERCLYDE INTEGRATION JOINT BOARD
INTERNAL AUDIT REPORT TO AUDIT COMMITTEE ON
STATUS OF EXTERNAL AUDIT ACTION PLAN POINTS
AT 28 FEBRUARY 2021**

Summary: Section 1 Summary of Management Actions due for completion by 28/02/2021

There were no actions due for completion by 28 February 2021.

Section 2 Summary of Current Management Actions Plans at 28/02/2021

At 28 February 2021 there were no audit reports delayed due to management not finalising the action plan within agreed timescales.

Section 3 Current Management Actions at 28/02/2021

At 28 February 2021 there were 4 current audit action points.

Section 4 Analysis of Missed Deadlines

At 28 February 2021 there was one audit action point where the agreed deadline has been missed.

**INVERCLYDE INTEGRATION JOINT BOARD
INTERNAL AUDIT REPORT TO AUDIT COMMITTEE ON
STATUS OF EXTERNAL AUDIT ACTION PLAN POINTS**

SUMMARY OF MANAGEMENT ACTION PLANS DUE FOR COMPLETION BY 28.02.2021

SECTION 1

| No. of Actions Due | No. of Actions Completed | Deadline missed Revised date set* | Deadline missed Revised date to be set* |
|--------------------|--------------------------|-----------------------------------|---|
| 0 | | | |

* These actions are included in the Analysis of Missed Deadlines – Section 4

In addition, for one action with an expected completion date of 31 March 2021, the completion date has now been revised as follows:

| Agreed Action | Responsibility | Expected Date | Status Update |
|--|--|-------------------|---|
| Implementation of Locality Planning Groups This work has inevitably been delayed by Covid-19. However, work is restarting on this now. An initial virtual pilot meeting is due to take place soon for the Port Glasgow Locality Group. | Head of Strategy and Support Services | 31.03.2021 | Covid and then changes in the management team within the HSCP have delayed the implementation of this work. A new Planning & Performance Manager post has been created which will initially be filled on a 1 day a week basis from April 2021. This post will lead on locality planning group work. |

**INVERCLYDE COUNCIL INTERNAL AUDIT
REPORT TO AUDIT COMMITTEE ON
STATUS OF EXTERNAL AUDIT ACTION PLAN POINTS**

SUMMARY OF CURRENT MANAGEMENT ACTIONS AS AT 28.02.2021

SECTION 2

CURRENT ACTIONS

| Month | No of actions |
|-------------------------------|----------------------|
| Due for completion March 2021 | 2 |
| Due for completion March 2022 | 1 |
| Completion date to be advised | 1 |
| Total Actions | 4 |

**INVERCLYDE COUNCIL INTEGRATION JOINT BOARD
INTERNAL AUDIT REPORT TO AUDIT COMMITTEE ON
STATUS OF EXTERNAL AUDIT ACTION PLAN POINTS**

CURRENT MANAGEMENT ACTIONS AS AT 28.02.2021

SECTION 3

| Action | Owner | Expected Date |
|--|--|--|
| 2019/2020 Annual Audit Report (October 2020) | | |
| <p>Financial Plan The medium-term financial plan is reviewed annually as part of the annual budget process. Management will ensure that the financial plan is updated to account for the significant impact of Covid-19 on financial sustainability.</p> | Chief Financial Officer | 31.03.2021 |
| <p>Savings Proposals Work is already underway to develop savings proposals based on estimated funding positions. In line with previous years this will be firmed up once Scottish Government public sector budgets are confirmed in December and will go to the IJB for consideration and approval between Feb and March 2021.</p> | Chief Financial Officer | 31.03.2021 |
| <p>Integration Scheme Review The IJB will work with the other GG&C IJBs around the timing of this. Current legislative issue being resolved by Scottish Government. Once this is resolved it is anticipated that the 6 IJBs with their Council and Health Board Partners will be able to approve and submit the updated Integration Schemes to Scottish Government.</p> | Chief Officer | Within 3 months of Scottish Government resolving existing legislative issue |
| <p>Implementation of Locality Planning Groups This work has inevitably been delayed by Covid-19. However, work is restarting on this now. An initial virtual pilot meeting took place in Port Glasgow Locality Group in November and the Community/ Engagement Groups have been restarted</p> | Planning and Performance Manager (HSCP) | 31.03.2022* |

* See analysis of missed deadlines – Section 4

**INVERCLYDE INTEGRATION JOINT BOARD
INTERNAL AUDIT REPORT TO AUDIT COMMITTEE ON
STATUS OF EXTERNAL AUDIT ACTION PLAN POINTS
ANALYSIS OF MISSED DEADLINES**

SECTION 4

| Report | Action | Original Date | Revised Date | Management Comments |
|---|--|-----------------|-----------------|---|
| 2019/2020 Annual Audit Report (October 2020) | <p>Implementation of Locality Planning Groups This work has inevitably been delayed by Covid-19. However, work is restarting on this now. An initial virtual pilot meeting is due to take place soon for the Port Glasgow Locality Group.</p> | 30.03.21 | 30.03.22 | Covid and then changes in the management team within the HSCP have delayed the implementation of this work. A new Planning & Performance Manager post has been created which will initially be filled on a 1 day a week basis from April 2021. This post will lead on locality planning group work. |